Sheet 1 of 2 sheet(s) Docket No. Serial No. U.S. Department of Commerce, Patent and Trademark Office AMAT/5538/CMP/CMP/ 09/755,717 (PTQ Form 1449 modified) RKK WFORMATION DISCLOSURE STATEMENT BY APPLICANT Confirmation No.: **Applicant** SEP 1 3 2002 12 5164 Sun, et al. (Use sevæal sheets if necessarv) Group Filing Date CHADENS Examiner Unassigned 01/05/01 1765\_ **U.S. Patent Documents** Subclass Filing Date II \*Examiner Document Issue Applicant(s) Class Initial Number Date Name Appropriate 06/20/98 430 522 **A1** 4.920.031 04/24/90 Ohno, et al. A2 07/21/98 Kaufman, et al. 438 962 07/21/98 5,783,489 09/21/99 Kaufman, et al. 252 79.1 12/09/96 **A3** 5,954,997 Kondo, et al. 690 10/30/98 438 **A4** 6,117,775 09/12/00 438 692 12/17/98 6,136,714 10/24/00 Schutz **A5** 438 687 10/22/98 A6 6,143,656 11/07/00 Yang, et al. 438 692 08/27/99 12/05/00 Small **A7** 6,156,661 419 8 08/03/99 **A8** 6,183,686 02/06/01 Bardus, et al. 11/25/98 **A9** 6,218,290 04/17/01 Schonauer, et al. 438 633 438 693 12/27/99 A10 6,258,721 07/10/01 Li, et al. 562 06/20/00 Takagaki, et al. 433 A11 6,271,416 08/0701 451 527 A12 6,375,559 04/23/02 James, et al. 08/27/99 51 308 11/03/93 08/23/94 Cadien, et al. A13 5,340,370 A14 **Foreign Patent Documents** Translation \*Examiner Document Date Country Class Subclass Initial Number YES NO LV WO 1/14 C23F **B1** 98/41671 09/24/98 **B2** 98/49723 11/05/98 WO H01L 21/321 LV 21/00 WO H01L **B**3 00/30159 05/25/00 OTHER ART \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial LV C1 USSN 09/569,968 filed 05/11/00 (Sun, et al.) LV C2 USSN 09/968,863 filed 10/27/00 (Tsai, et al.)

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Sheet 2 of 2 sheet(s) U.S. Department of Commerce, Patent and Trademark Office Serial No. Docket No. AMAT/5538/CMP/CMP/ 09/755,717 (PTO Form 1449 modified) **RKK** INFORMATION DISCLOSURE STATEMENT BY APPLICANT **Applicant** Confirmation No.: SEP 1 3 2002 % 5164 Sun, et al. (Use several sheets if necessary) Filing Date Group & TRADEMARY Examiner Unassigned 01/05/01 1765 **U.S. Patent Documents** Filing Date If **Document** Applicant(s) Class **Subclass** \*Examiner Issue **Appropriate** Initial Number Date Name RECEIVE SEP 1 6 2002 1700 A15 A16 A17 A18 **Foreign Patent Documents** \*Examiner Document Date Country Class Subclass Translation Initial Number YES NO **B1** B2 **B3 OTHER ART** \*Examiner Including Author, Title, Date, Pertinent Pages, Etc. Initial W C4 USSN 10/187,857 filed 06/27/02 (Tsai, et al.) C5 USSN 10/193,810 filed 07/11/02 (Tsai, et al.) LV LV C6 USSN 10/215,521 filed 08/08/02 (Sun, et al.)

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